TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/.848, 639
Filing Date	May 3, 2001
First Named Inventor	Shelton E. Harrison
Art Unit	3628
Examiner Name	Pedro Kanof

Total Number of Pages in This Submission 25

Attorney Docket Number

ENCLOSURES *(Check all that apply)*

- [ ] Fee Transmittal Form
  - [ ] Fee Attached
- [✓] Amendment/Reply
  - [ ] After Final
  - [ ] Affidavits/declaration(s)
- [ ] Extension of Time Request
- [ ] Express Abandonment Request
- [ ] Information Disclosure Statement
- [ ] Certified Copy of Priority Document(s)
- [ ] Response to Missing Parts/ Incomplete Application
  - [ ] Response to Missing Parts under 37 CFR 1.52 or 1.53

- [ ] Drawing(s)
- [ ] Licensing-related Papers
- [ ] Petition
- [ ] Petition to Convert to a Provisional Application
- [ ] Power of Attorney, Revocation Change of Correspondence Address
- [ ] Terminal Disclaimer
- [ ] Request for Refund
- [ ] CD, Number of CD(s) _____

- [ ] After Allowance Communication to a Technology Center (TC)
- [ ] Appeal Communication to Board of Appeals and Interferences
- [ ] Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- [ ] Proprietary Information
- [ ] Status Letter
- [✓] Other Enclosure(s) (please identify below):
  claims listing; cover letter; cert of mailing; postcard

Remarks

RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT DATED OCT. 12, 2004

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	SHELTON E. HARRISON, JR
Signature	
Date	OCT. 21, 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed	
Signature	Date

3628
JFV

Patent application: ELECTRONIC BOND & GUARANTY PROCESS AND BUSINESS METHOD

Application Number: 09/848639

Filing Date: 5/3/2001

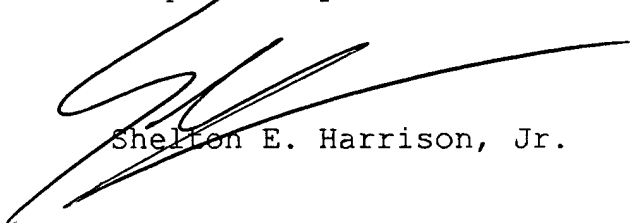Art Unit: 3628

Examiner: Pedro Kanof

RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT

OCTOBER 20, 2004

I have received your Notice of Non-Compliant Amendment dated Oct. 12, 2004, which pertained to the preliminary amendment filed April 26, 2004. In response thereto, enclosed is a complete listing of ALL of the claims, including those that were submitted in a subsequent preliminary amendment filed August 5, 2004, with notation of the claims that are currently amended. The enclosed document therefore represents the current state of all of the claims at this time.

Please contact me if there are any defects in this submission.

Respectfully submitted,

Shelton E. Harrison, Jr.

310-500-5593

shel@epoet.com

CERTIFICATE OF MAILING

I hereby certify that the following documents are being deposited with the U. S. Postal Service as first class mail in an envelope with sufficient postage attached thereto and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

"ELECTRONIC BOND & GUARANTY PROCESS AND BUSINESS METHOD"
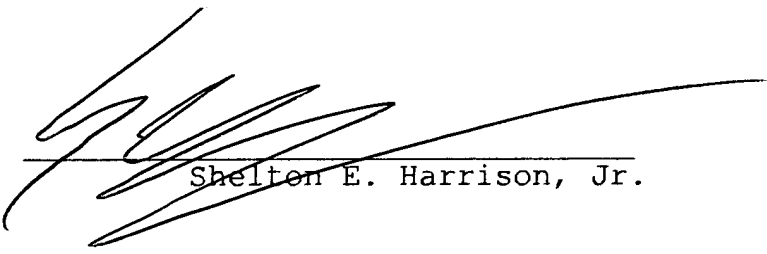Inventor: Shelton E. Harrison, Jr.
Application #: 09/848639
Filing Date: 5/3/2001
Examiner/Art Unit: Pedro Kanof/3628
Contents of the mailing:

1. Transmittal form (1 page)
2. Cover letter: Response to Notice of Non-Compliant Amendment (1 page)
3. Claims listing (22 pages)
4. Return Receipt Postcard
5. Certificate of Mailing

_____   Oct. 01, 2007
Shelton E. Harrison, Jr.   _____
                                         date